

IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

Applicant: Craig Glascott

For : POLYAXIAL SCREW WITH IMPROVED LOCKING

Express Mail Certificate

"Express Mail" mailing number: EL691442280US

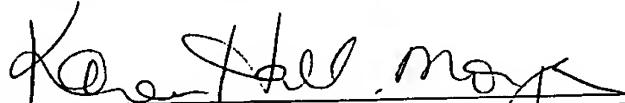
Date of Deposit: January 11, 2002

4004355010102
I hereby certify that this complete application, including specification pages, claims, informal drawings, and unsigned Declaration and Power of Attorney, is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

An executed Combined Declaration and Power of Attorney will be submitted to the United States Patent and Trademark Office upon receipt of the U.S. Serial Number for this patent application.

Karen Hall-Morgan

(Typed or printed name of person mailing paper or fee)



(Signature of person mailing paper or fee)

Polyaxial Screw with Improved Locking

5 This application claims priority from US Patent Application No. 60/261,129 filed January 12, 2001.

Field of the Invention

10 The present invention relates to a polyaxial pedicle screw.

Background

15 Polyaxial pedicle screws such as disclosed in Biedermann et al.'s US Patent No. 5,443,467, incorporated herein by reference, are used for connecting vertebrae to rods in spinal surgery. They incorporate a ball joint at the connection to the rod to allow the surgeon some flexibility in placing the screws. Tightening a nut on the screw compresses the ball joint components to lock the angular position of the ball joint.

Summary of the Invention

25 The present invention, improves the locking force achieved when locking the ball joint.

30 A pedicle screw assembly according to the present invention comprises a screw having a head with a convex portion and a receiver receiving the head. The receiver also receives an elongate member, such as a spinal fixation rod. The receiver has a concave portion which has a radius of curvature which is less than a radius of curvature of the 35 convex portion of the head whereby to create an interference

fit between the convex portion of the head and the concave portion of the receiver.

Preferably, a nut on the receiver compresses the convex 5 portion of the head into the concave portion of the receiver. In one convenient orientation, the receiver comprises a U-shaped portion for receiving the elongated member. Preferably, the concave portion of the receiver is formed of titanium. Although other shapes may be employed, in one 10 preferred orientation each of the concave portion and convex portion have a spherical shape. Any shapes which allow rotational freedom of the head and receiver prior to engagement of the surfaces would suffice.

15 In one preferred embodiment the screw comprises an elongated shank having bone threads thereon and the head located at one end thereof and the receiver comprises a body having an aperture therethrough for receiving the shank and having the concave portion located at the aperture. The 20 receiver further comprises a channel therethrough opposite the aperture, the channel receiving the elongate member.

dat The pedicle screw can further comprises a compression member between the elongate member and the head; the head 25 having a second convex portion facing the compression member and the compression member having a second concave portion facing the head, the second concave portion having a radius of curvature less than a radius of curvature of the second convex portion whereby to create an interference fit between the head 30 and the pressure member.

The difference in the radius of curvature between the convex and concave portions in one embodiment is about 0.05 mm.

Brief Description of the Drawings

FIG. 1 is a cutaway view of a pedicle screw according to
5 the present invention;

FIG. 2 is an additional cutaway view of the screw of FIG.
1 with rod and locking nuts removed for clarity; and

10 FIG. 3 is a detailed cutaway view of a portion of the
receiver of FIG. 1.

Detailed Description

FIGS. 1 and 2 illustrates a polyaxial screw assembly
15 according to the present invention. It comprises a screw
12 having cancellous threads 14 for insertion into the
cancellous bone of a vertebra, especially through the pedicle.
A spherically shaped head 16 has a convex surface 18 and a
20 tool recess 20 for receiving a hex driver or other tool (not
shown). The head 16 is received within a tubular receiver 22
having an internal concave surface 24 and an adjacent opening
26. The convex surface 18 of the head 16 mates with the
26 concave surface 24. The opening 26 is smaller than the head
16 so that the screw 12 can project out of the opening 26
25 without falling out of the receiver 22.

A pressure disk 28 sits atop the head 26 and has a
surface 30 of mating shape to that of the head 26. The
receiver also has a U-shaped portion 32 which receives an
30 elongated rod 34. The rod 34 is used to connect adjoining
vertebrae as is known in the art. An internal nut 36 and
external nut 38 compress the rod 34 against the pressure disk
28 which in turn compresses the head convex portion 18 into

the receiver concave portion 24 and locks the angular position of the receiver 22 with respect to the screw 12.

The pressure disk 28 preferably has a lateral indentation 5 40 into which a material on the receiver 22 is swaged 42 to hold the pressure disk 28 within the receiver 22 but allow some movement therein.

FIG. 3 illustrates the feature which improves the locking 10 of the receiver 22 with respect to the screw 12 over prior similar screw assemblies. The concave surface 26 has a slightly smaller radius of curvature than does the convex surface 18 so that when the two are compressed together, the material deforms somewhat to allow the surfaces to mate in an 15 interference fit and thus enhances the grip between the surfaces.

data Tests of the deflection of the screw 12 under a torque load versus a prior screw show a significant decrease in 20 deflection versus the prior screw, thus less slippage and better locking. Tables 1 and show the results of tests of screws with and without the interference fit. The seven screws in Table 1 were formed of stainless steel and the seven screws in Table 2 of titanium. The screws labeled Magnum 25 contain the interference fit and the others did not. The screws are of similar dimensions; the numbers listed after the screw refer to the rod size. The tests consist of locking the screws to a uniform torque and then applying a lateral force to the screw 12 to induce a torque at the head 16. The load 30 at an offset of 0.5mm and the stiffness were assessed for each sample. The screws with the interference fit of the present invention exhibited gains in both parameters.

Table 1
 Static Cantilever Beam Evaluation
 Moss-Miami (no interference fit) vs.
 Moss-Miami Magnum (interference fit)

5

Stainless Steel

Specimen	1	2	3	4	5	6	7	Avg	Std Dev
Load at Offset 0.5mm (Kn)									
Moss-Miami 6.0	0.2107	0.2188	0.2121	0.2926	0.2483	0.2349	0.3571	0.25	0.05
Moss-Miami Magnum 6.34	0.2470	0.3101	0.3678	0.2752	0.2926	0.3074	0.2618	0.29	0.04
Stiffness N/mm									
Moss-Miami 6.0	602.2	459.8	229.9	594.3	245.8	538.8	570.8	463.1	160.99
Moss-Miami Magnum 6.34	637.0	705.2	627.8	611.4	753.2	721.1	689.8	677.9	53.27

Table 2
 Static Cantilever Beam Evaluation
 Moss-Miami (no interference fit) vs.
 Moss-Miami Magnum (interference fit)

10

Titanium

Specimen	1	2	3	4	5	6	7	Avg	Std Dev
Load at Offset 0.5mm (Kn)									
Moss-Miami 6.0	0.2859	0.3047	0.2389	0.3074	0.2959	0.2403	0.3302	0.28	0.04
Moss-Miami Magnum 6.34	0.3730	0.4495	0.4502	0.4929	0.5348	0.5342	0.5114	0.48	0.06
Stiffness N/mm									
Moss-Miami 6.0	451.9	404.9	293.3	467.7	404.1	316.9	396.4	390.7	64.58
Moss-Miami Magnum 6.34	707.4	572.1	573.9	526.6	580.0	578.7	517.1	584.5	58.38

15

In one preferred embodiment, the head convex surface 18 would have a diameter of 6.995 mm and the mating receiver

concave surface 24 would have a diameter of 6.88 mm. Similar interference dimensions could also be applied to the mating interface of the pressure disk surface 30 and the head 16.

5 While the invention has been described with regard to a particular embodiment thereof, those skilled in the art will understand, of course, that the invention is not limited thereto since modifications can be made by those skilled in the art, particularly in light of the foregoing teachings.

10 Reasonable variation and modification are possible within the foregoing disclosure of the invention without the departing from the spirit of the invention.